

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Min-Jer Lin  
App. No.: 10/710,399 Filing Date: 07/08/2004  
Examiner: DAO H, NGUYEN Art Unit: 2818  
Docket No.: LKSP0027USA

10 Title: SEMICONDUCTOR PACKAGE AND METHOD FOR  
MANUFACTURING THE SAME

To: Mail Stop 16  
Commissioner for Patents  
15 P.O. Box 1450  
Alexandria VA 22313-1450

Subject: Request for a refund of incorrect deduction  
for claims in excess of twenty pursuant to 37 CFR  
20 1.26

Dear Sir,

The applicant filed a response to the Office action mailed  
25 on 12/14/2006 of the above-identified application on  
03/14/2007. There is no excess claim fee that needed to be  
deducted.

But the fee \$150 for excess claims fee was charged from  
the deposit account 50-3105 on 03/15/2007.

30

03/15 2	10710399	LKSP0027USA	1202	\$150.00
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Document code: WFEE

United States Patent and Trademark Office  
Sales Receipt for Accounting Date: 03/15/2007

DJACOBS SALE #00000002 Mailroom Dt: 03/13/2007 503105 10710399  
01 FC:1202 150.00 DA

The Fee Worksheet dated 03/16/2007 on IFW shows that total claims are 23 and highest number previously paid for is 20  
5 (Attachment 1). However, the Fee Worksheet dated 07/08/2004 on IFW shows that total claims are 25 (Attachment 2). So the excess claims fee should not be charged.

Please refund the fee \$150 to the deposit account 50-3105  
10 pursuant to 37 CFR 1.26. Your quick response is greatly appreciated.

Sincerely yours,

15

Winston Hsu

Date: 04/16/2007

Winston Hsu, Patent Agent No. 41,526  
P.O. BOX 506, Merrifield, VA 22116, U.S.A.  
Voice Mail: 302-729-1562  
Facsimile: 806-498-6673  
20 e-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

# Attachment 1

PTO/SB/08 (12-04)

Approved for use through 7/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-575

Application or  
Docket Number

10710399

Filing Date:

07/08/2004

To be Mailed

### APPLICATION AS FILED – PART I

(Column 1) (Column 2)

SMALL ENTITY

OR OTHER THAN  
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEES (\$)		RATE (\$)	FEES (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(e), (g), or (h))	N/A	N/A	N/A			N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(e), (g), or (h))	N/A	N/A	N/A			N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(e), (g), or (h))	N/A	N/A	N/A			N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$25 =			X \$50 =	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	minus 3 =	*	X \$100 =			X \$200 =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))			+ \$150			+ \$360	
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL		TOTAL	

### APPLICATION AS AMENDED – PART II

(Column 1) (Column 2) (Column 3)

SMALL ENTITY

OR OTHER THAN  
SMALL ENTITY

AMENDMENT	100306	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	- 12	Minus	-- 20	= 0		X \$25 =		OR	X \$50 =	
Independent (37 CFR 1.16(b))	- 1	Minus	-- 3	= 0		X \$100 =		OR	X \$200 =	0
<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))								OR		
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT	3/13/07	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	- 23	Minus	-- 20	= 3		X \$25 =		OR	X \$50 =	150.00
Independent (37 CFR 1.16(b))	- 1	Minus	-- 3	= 0		X \$100 =		OR	X \$200 =	
<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))								OR		
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	150.00

CALCULATE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Legal Instrument Examiner:  
peggy s. yarborough

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Attachment 2

**FEE TRANSMITTAL**

Electronic Version v08

Stylesheet Version v08.0

<b>Title of Invention</b>	SEMICONDUCTOR PACKAGE AND METHOD FOR MANUFACTURING THE SAME																							
Application Number :																								
Date :																								
First Named Applicant:		Min-Jer Lin																						
Attorney Docket Number:		LKSP0027USA																						
<b>TOTAL FEE AUTHORIZED \$ 900</b>																								
Patent fees are subject to annual revisions on or about October 1st of each year.																								
Filing as large entity																								
<b>BASIC FILING FEE</b>																								
<table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Code</th> <th>Amount \$</th> <th>Fee Paid \$</th> </tr> </thead> <tbody> <tr> <td>Utility Filing Fee</td> <td>1001</td> <td>770</td> <td>770</td> </tr> <tr> <td colspan="4">Subtotal For Basic Filing Fees: \$ 770</td> </tr> </tbody> </table>						Fee Description	Fee Code	Amount \$	Fee Paid \$	Utility Filing Fee	1001	770	770	Subtotal For Basic Filing Fees: \$ 770										
Fee Description	Fee Code	Amount \$	Fee Paid \$																					
Utility Filing Fee	1001	770	770																					
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<b>EXTRA CLAIM FEES</b>																								
<table border="1"> <thead> <tr> <th>Fee Description</th> <th>Extra Claim</th> <th>Fee Code</th> <th>Amount \$</th> <th>Fee Paid \$</th> </tr> </thead> <tbody> <tr> <td>Total Claims : 25</td> <td>5</td> <td>1202</td> <td>18</td> <td>90</td> </tr> <tr> <td>Independent Claims : 2</td> <td>0</td> <td>1201</td> <td>86</td> <td>0</td> </tr> <tr> <td colspan="4">Subtotal For Extra Claims Fees: \$ 90</td> </tr> </tbody> </table>						Fee Description	Extra Claim	Fee Code	Amount \$	Fee Paid \$	Total Claims : 25	5	1202	18	90	Independent Claims : 2	0	1201	86	0	Subtotal For Extra Claims Fees: \$ 90			
Fee Description	Extra Claim	Fee Code	Amount \$	Fee Paid \$																				
Total Claims : 25	5	1202	18	90																				
Independent Claims : 2	0	1201	86	0																				
Subtotal For Extra Claims Fees: \$ 90																								
<b>ASSIGNMENT FEES</b>																								
<table border="1"> <thead> <tr> <th>Fee Description</th> <th>Property Number</th> <th>Quantity</th> <th>Fee Code</th> <th>Amount \$</th> <th>Fee Paid \$</th> </tr> </thead> <tbody> <tr> <td>Recording Each Patent Assignment Per Property Fee</td> <td>00000000</td> <td>1</td> <td>8021</td> <td>40</td> <td>40</td> </tr> <tr> <td colspan="4">Subtotal For Additional Fees: \$40</td> </tr> </tbody> </table>						Fee Description	Property Number	Quantity	Fee Code	Amount \$	Fee Paid \$	Recording Each Patent Assignment Per Property Fee	00000000	1	8021	40	40	Subtotal For Additional Fees: \$40						
Fee Description	Property Number	Quantity	Fee Code	Amount \$	Fee Paid \$																			
Recording Each Patent Assignment Per Property Fee	00000000	1	8021	40	40																			
Subtotal For Additional Fees: \$40																								
<b>AUTHORIZED BILLING INFORMATION</b>																								
<p>The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit account number: 503105 Access Code **** Deposit name: North America Intellectual Property Corporation Deposit authorized name: WINSTON HSU Signature: VAEB-JMXX-8IIL</p>																								